

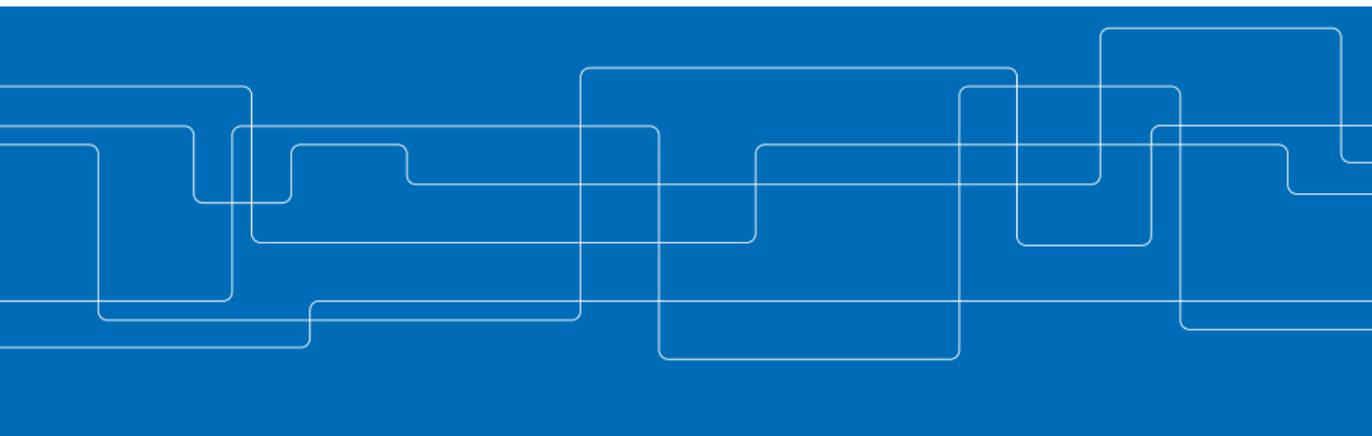


# Abstraction refinement and plan revision for control synthesis under high level specifications

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KTH, Royal Institute of Technology

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# Outline

**Abstraction-based synthesis**

**Global framework**

**Specifications**

**Valid sets**

**Algorithm**

**Cost functions**

**Result**

**Conclusion**

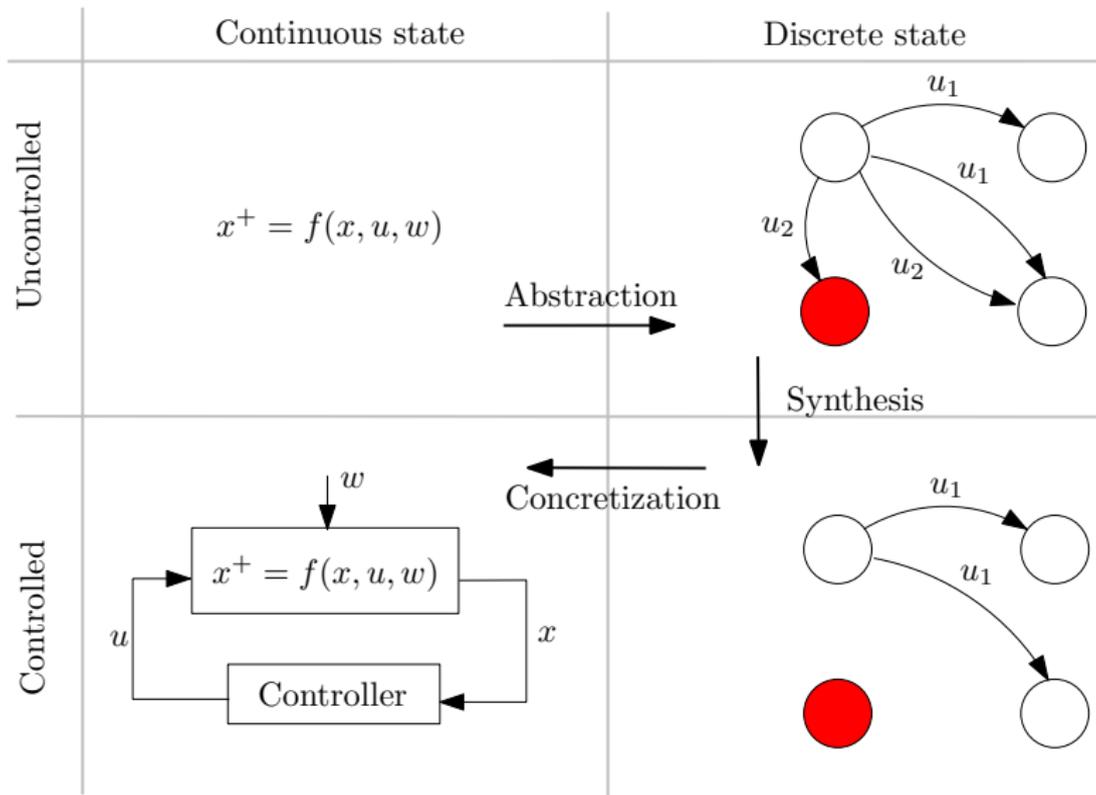
**Abstraction refinement**

**Plan revision**

**IDDFS**

**Sampling period**

# Abstraction-based synthesis



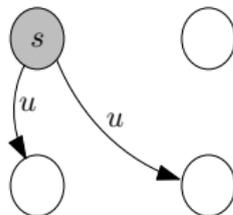
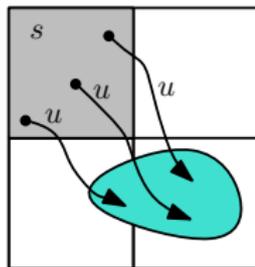
# Abstraction procedure

$$x^+ = f(x, u, w)$$

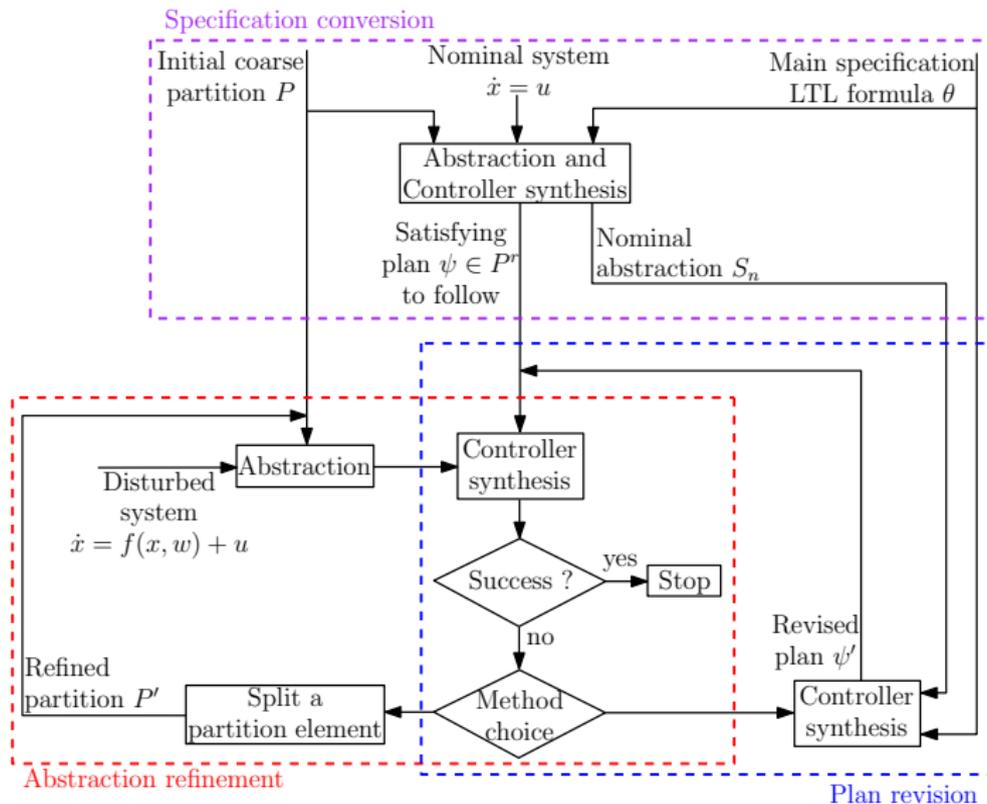
- ▶ Define the **reachable set** for any disturbance  $w \in W$ :

$$RS(X, U) = \{f(x, u, w) \mid x \in X, u \in U, w \in W\}$$

- ▶ Partition of the state space
- ▶ For each partition cell  $s$  and control  $u$ : compute the **reachable set**  $RS(s, \{u\})$
- ▶ Obtain a **non-deterministic** transition system: each pair  $(s, u)$  may have several successors



# Global framework



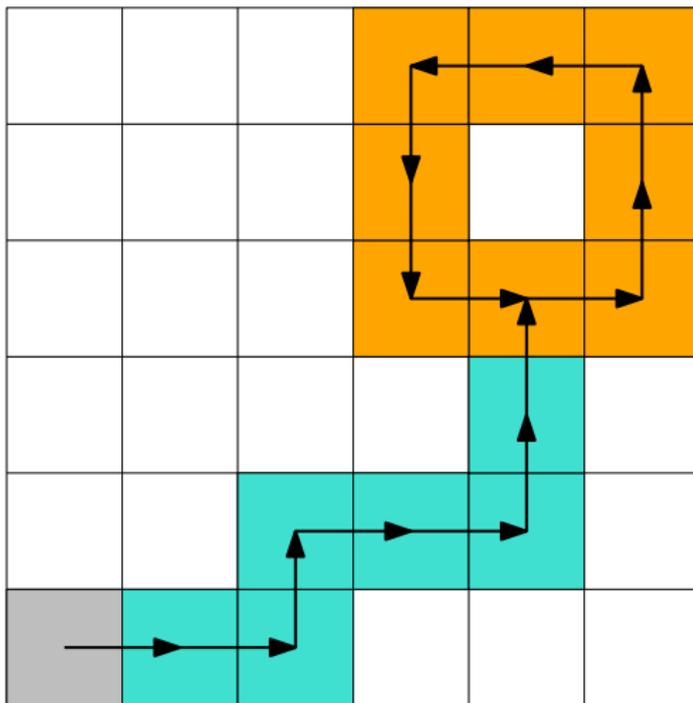
**General** Linear Temporal Logic (**LTL**) formula:

Satisfying plans are

**lasso-shaped**

sequences in the state partition

- ▶ **prefix**: finite path from the initial cell
- ▶ **suffix**: finite path looping on itself





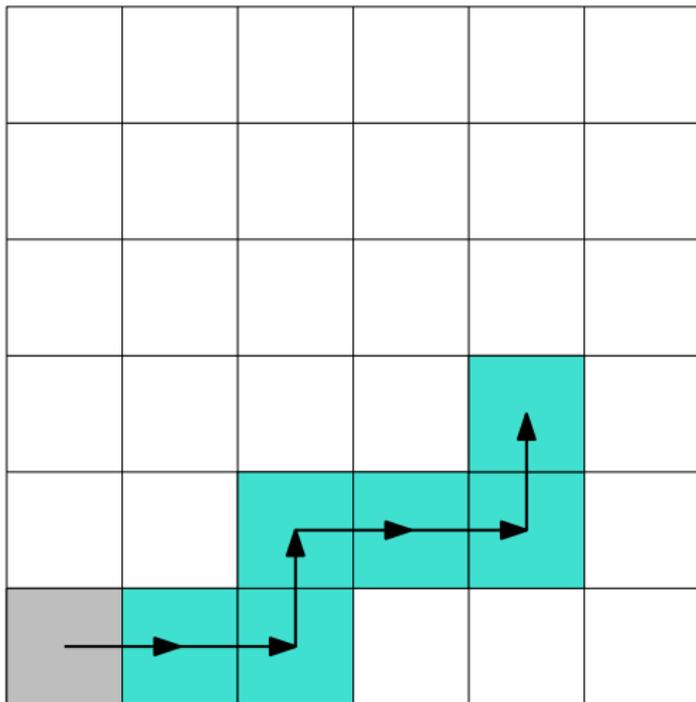
# Specifications

**Syntactically co-safe**

**LTL formula:**

**Satisfiable in finite time**

- ▶ **prefix:** finite path from the initial cell



# Valid sets

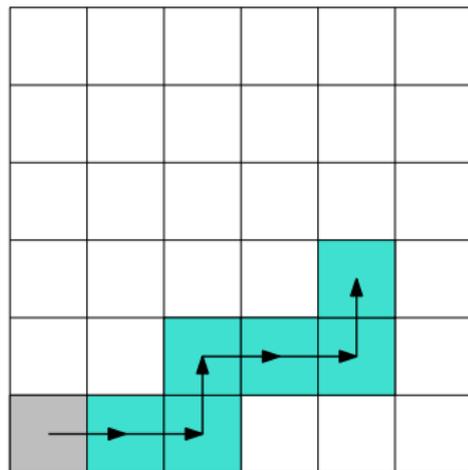
## Definition (Valid set)

Elements of the refined partition  $X_a$  that can be controlled to follow the desired sequence of cells

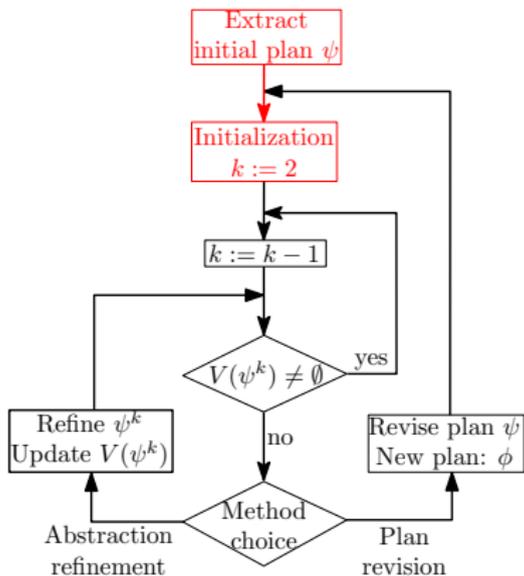
Finite plan:  $\psi = \psi^0 \psi^1 \dots \psi^r$

- ▶ Final cell:  $V(\psi^r) = \{\psi^r\}$
- ▶ for all  $k \in \{0, \dots, r-1\}$ :

$$V(\psi^k) = \{s \in X_a \mid s \subseteq \psi^k, \exists u \in U_a, RS(s, \{u\}) \subseteq V(\psi^{k+1})\}$$

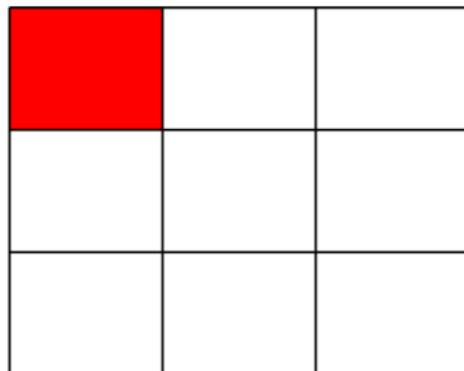
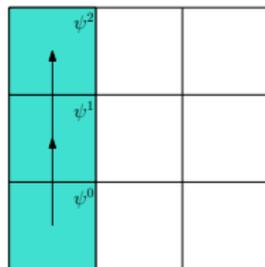


# Algorithm



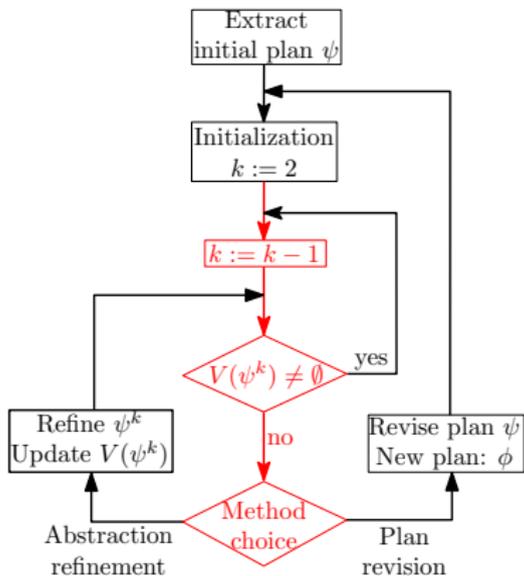
**Specification:**  
reach top-left  
from bottom left

**Initial plan:**  
 $\psi^0 \psi^1 \psi^2$



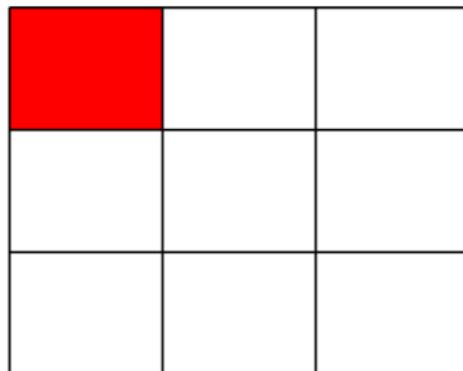
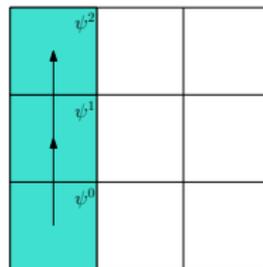
Last step of the plan:  $\psi^2$   
Initial valid set:  $V(\psi^2) = \{\psi^2\}$

# Algorithm



**Specification:**  
reach top-left  
from bottom left

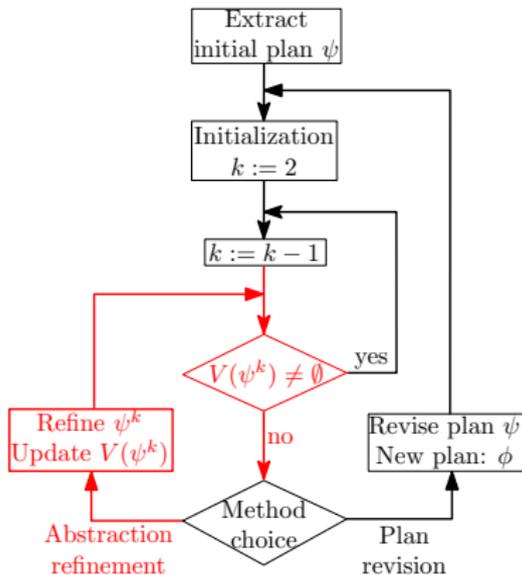
**Initial plan:**  
 $\psi^0 \psi^1 \psi^2$



Transition  $\psi^1 \rightarrow \psi^2$

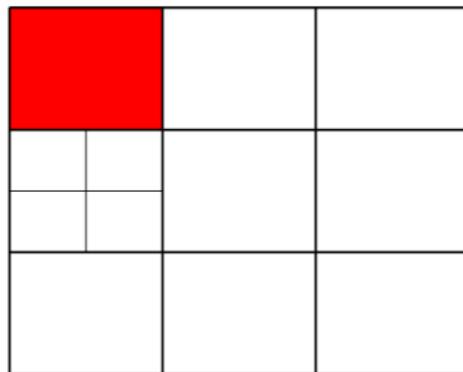
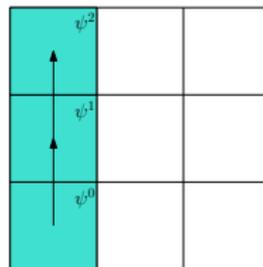
Empty valid set:  $V(\psi^1) = \emptyset$

# Algorithm



**Specification:**  
reach top-left  
from bottom left

**Initial plan:**  
 $\psi^0 \psi^1 \psi^2$

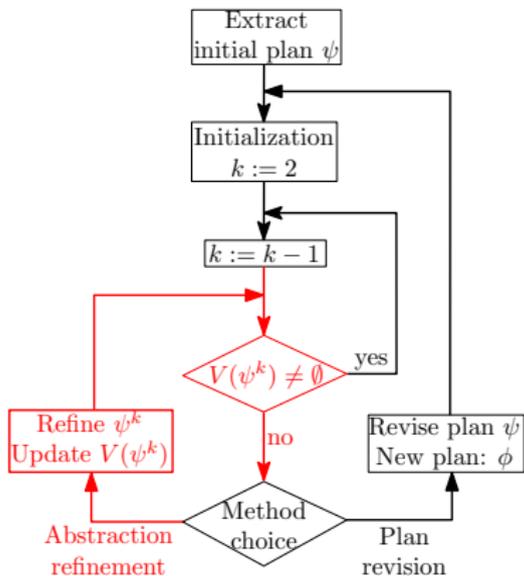


Transition  $\psi^1 \rightarrow \psi^2$

Refine  $\psi^1$

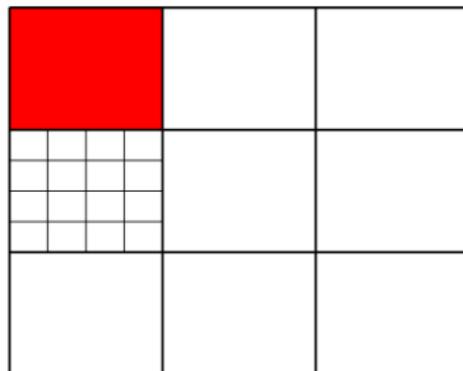
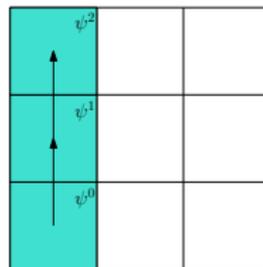
Valid set still empty:  $V(\psi^1) = \emptyset$

# Algorithm



**Specification:**  
reach top-left  
from bottom left

**Initial plan:**  
 $\psi^0 \psi^1 \psi^2$

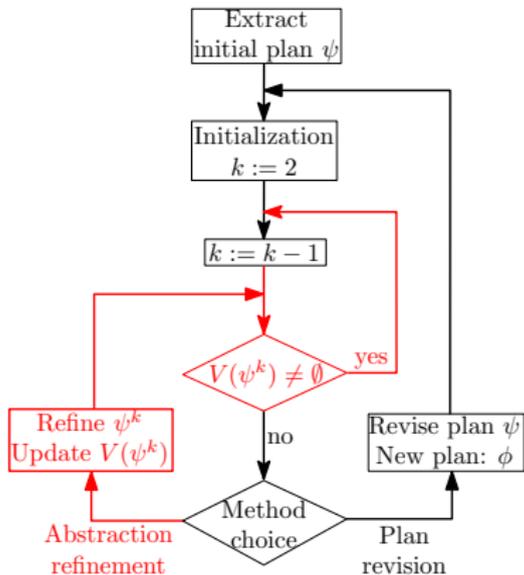


Transition  $\psi^1 \rightarrow \psi^2$

Refine  $\psi^1$

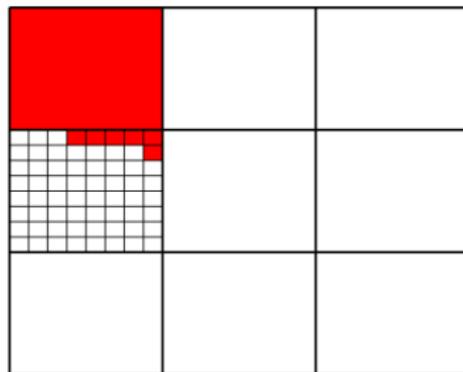
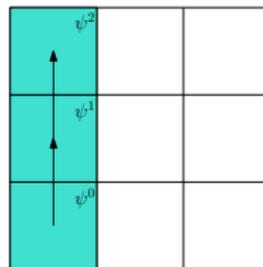
Valid set still empty:  $V(\psi^1) = \emptyset$

# Algorithm



**Specification:**  
reach top-left  
from bottom left

**Initial plan:**  
 $\psi^0 \psi^1 \psi^2$



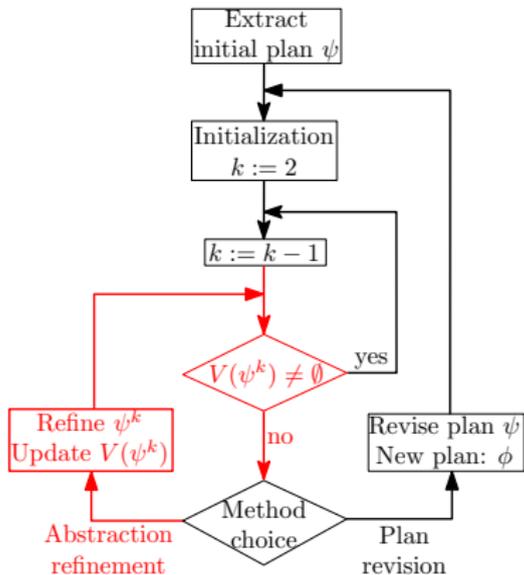
Transition  $\psi^1 \rightarrow \psi^2$

**Refine  $\psi^1$**

New valid set for  $\psi^1$ :  $V(\psi^1) \neq \emptyset$

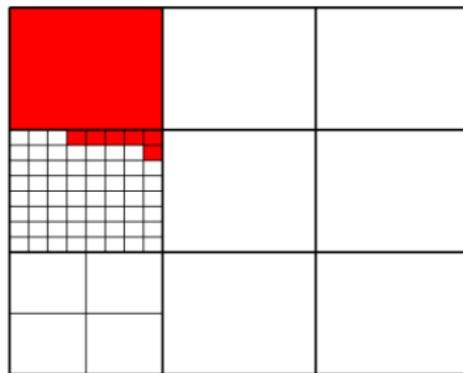
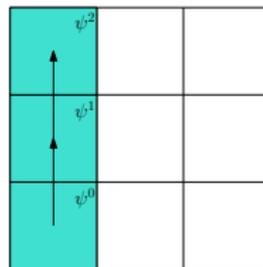


# Algorithm



**Specification:**  
reach top-left  
from bottom left

**Initial plan:**  
 $\psi^0 \psi^1 \psi^2$

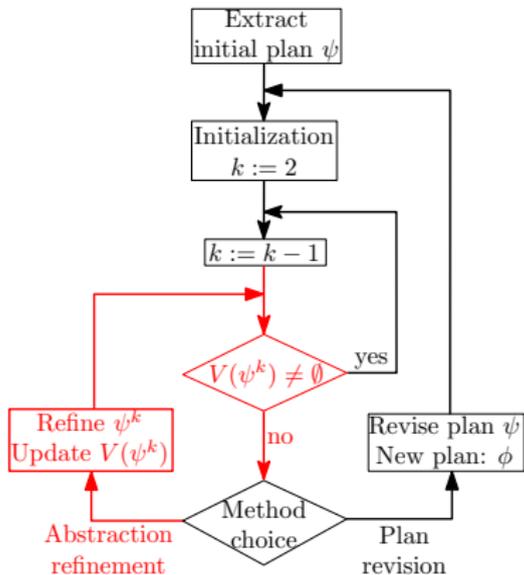


Transition  $\psi^0 \rightarrow \psi^1$

Refine  $\psi^0$

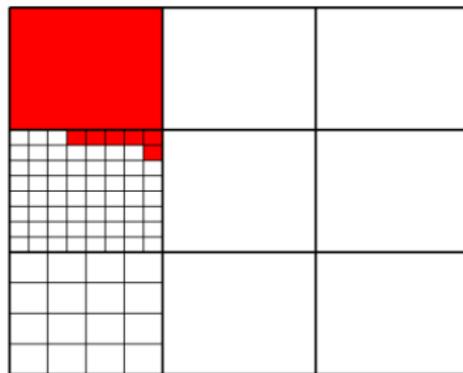
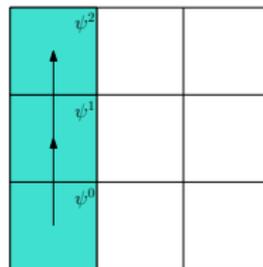
Valid set still empty:  $V(\psi^0) = \emptyset$

# Algorithm



**Specification:**  
reach top-left  
from bottom left

**Initial plan:**  
 $\psi^0 \psi^1 \psi^2$

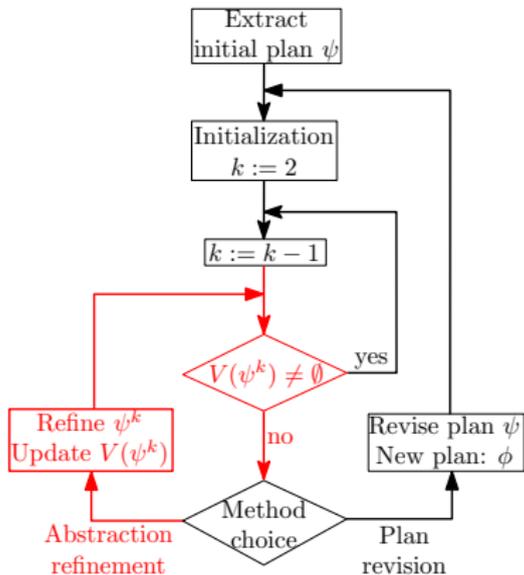


Transition  $\psi^0 \rightarrow \psi^1$

Refine  $\psi^0$

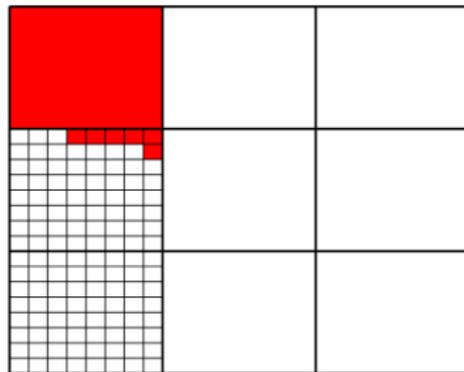
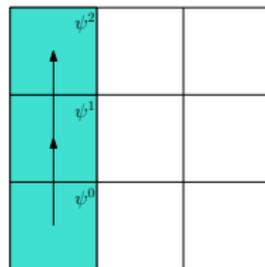
Valid set still empty:  $V(\psi^0) = \emptyset$

# Algorithm



**Specification:**  
reach top-left  
from bottom left

**Initial plan:**  
 $\psi^0 \psi^1 \psi^2$

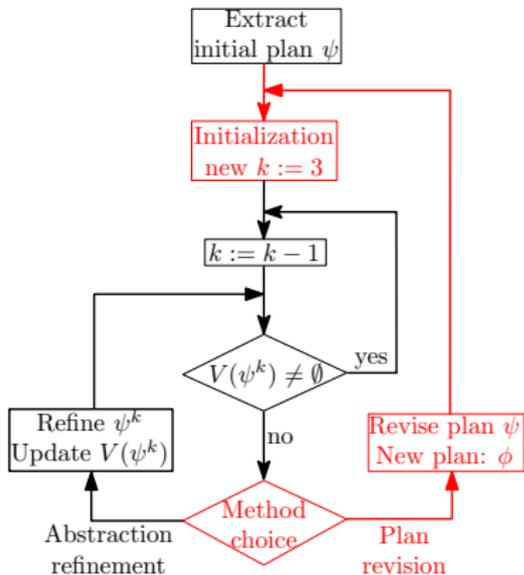


Transition  $\psi^0 \rightarrow \psi^1$

Refine  $\psi^0$

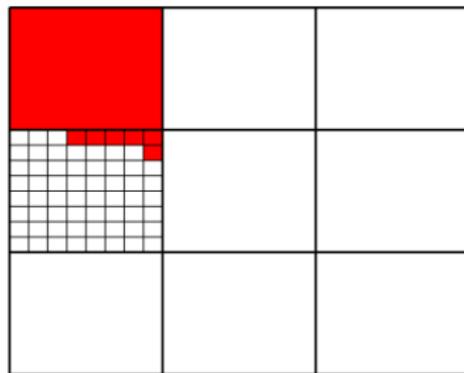
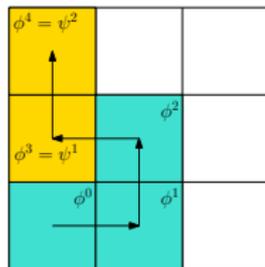
Valid set still empty:  $V(\psi^0) = \emptyset$

# Algorithm



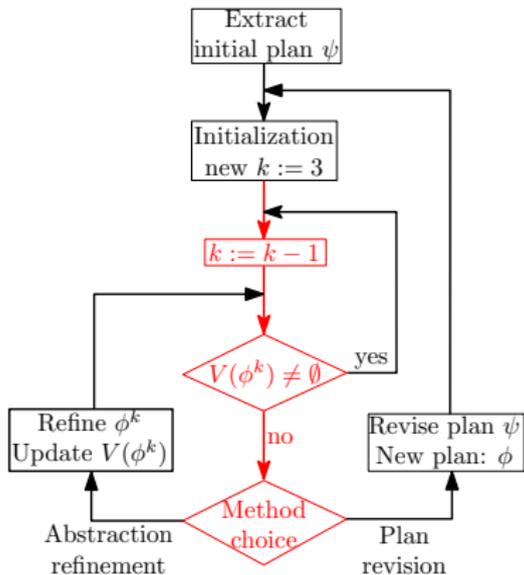
**Specification:**  
reach top-left  
from bottom left

**Revised plan:**  
 $\phi^0 \phi^1 \phi^2 \phi^3 \phi^4$



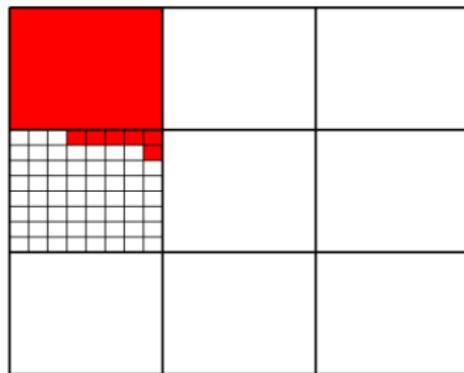
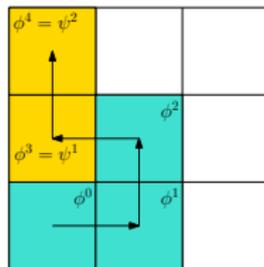
**Plan revision:** keep partial  
progress:  $\phi^3 \phi^4 = \psi^1 \psi^2$

# Algorithm



**Specification:**  
reach top-left  
from bottom left

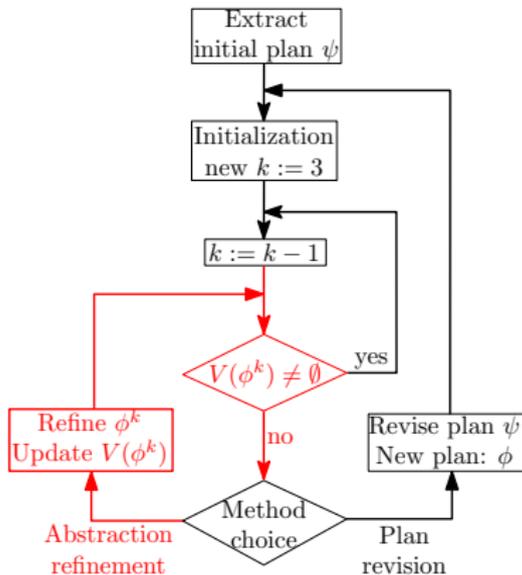
**Revised plan:**  
 $\phi^0 \phi^1 \phi^2 \phi^3 \phi^4$



Transition  $\phi^2 \rightarrow \phi^3$

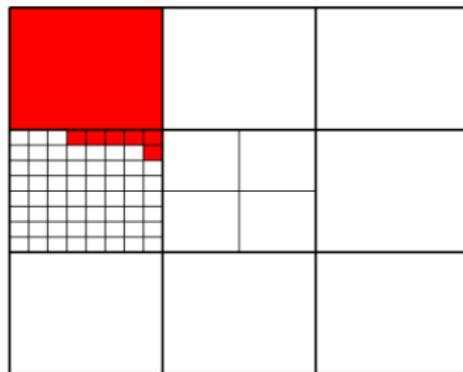
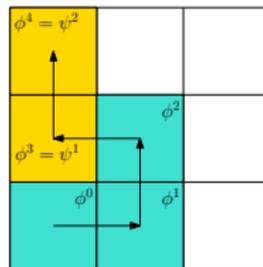
Empty valid set:  $V(\phi^2) = \emptyset$

# Algorithm



**Specification:**  
reach top-left  
from bottom left

**Revised plan:**  
 $\phi^0 \phi^1 \phi^2 \phi^3 \phi^4$

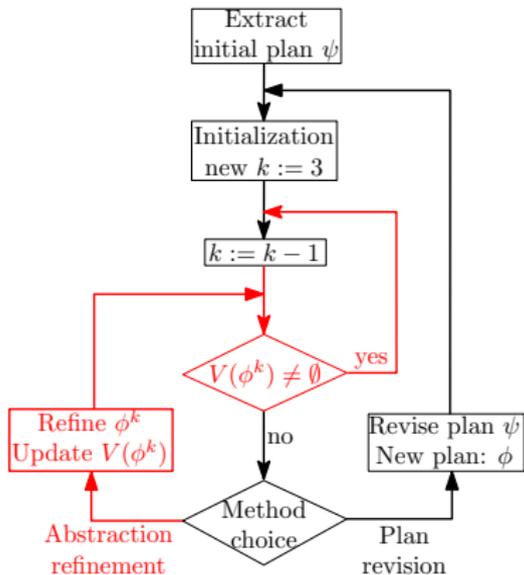


Transition  $\phi^2 \rightarrow \phi^3$

Refine  $\phi^2$

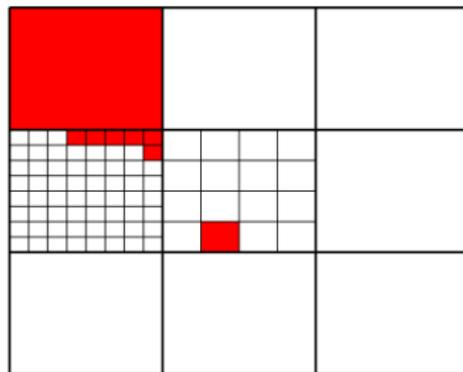
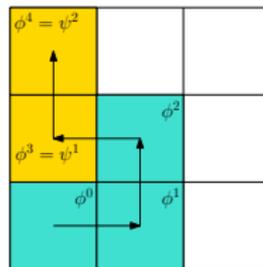
Valid set still empty:  $V(\phi^2) = \emptyset$

# Algorithm



**Specification:**  
reach top-left  
from bottom left

**Revised plan:**  
 $\phi^0 \phi^1 \phi^2 \phi^3 \phi^4$

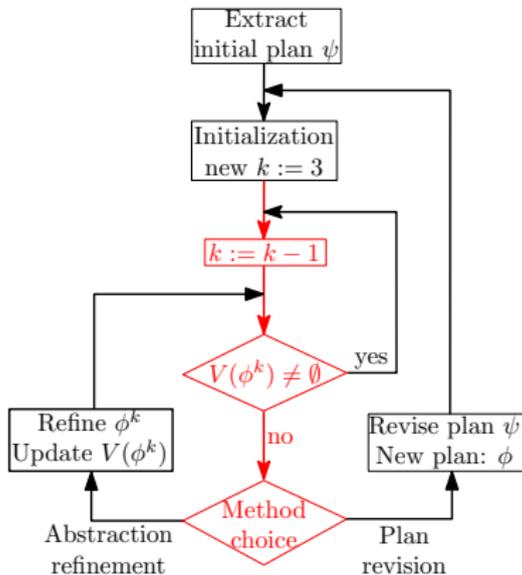


Transition  $\phi^2 \rightarrow \phi^3$

Refine  $\phi^2$

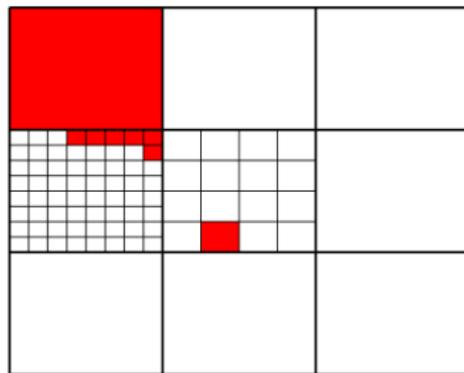
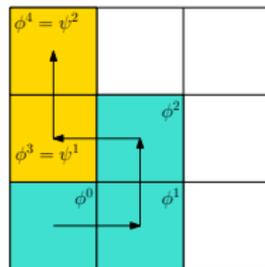
New valid set for  $\phi^2$ :  $V(\phi^2) \neq \emptyset$

# Algorithm



**Specification:**  
reach top-left  
from bottom left

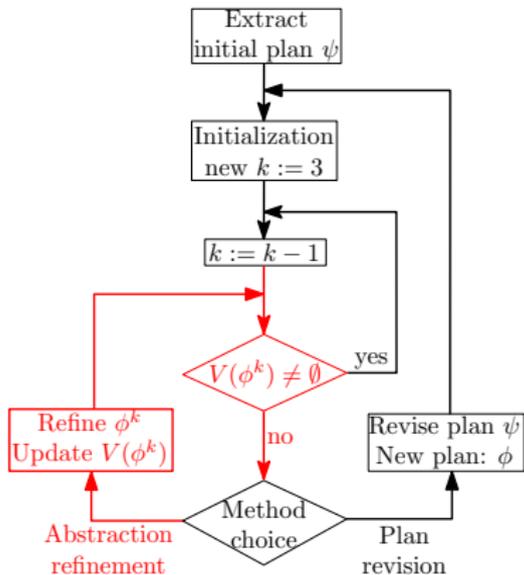
**Revised plan:**  
 $\phi^0 \phi^1 \phi^2 \phi^3 \phi^4$



Transition  $\phi^1 \rightarrow \phi^2$

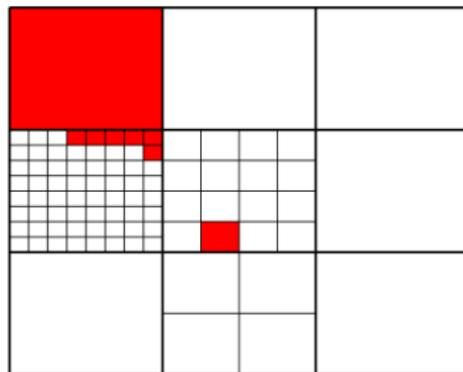
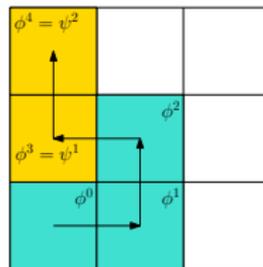
Empty valid set:  $V(\phi^1) = \emptyset$

# Algorithm



**Specification:**  
reach top-left  
from bottom left

**Revised plan:**  
 $\phi^0 \phi^1 \phi^2 \phi^3 \phi^4$

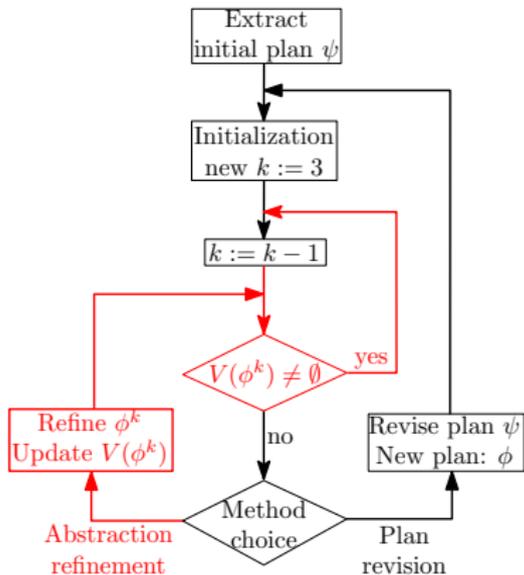


Transition  $\phi^1 \rightarrow \phi^2$

Refine  $\phi^1$

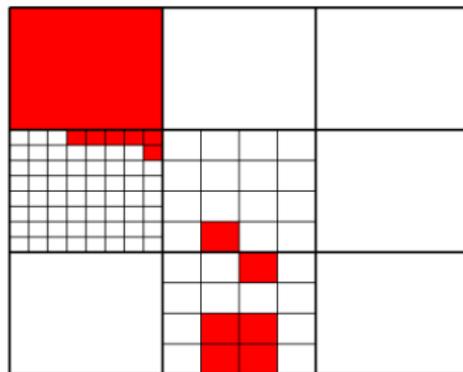
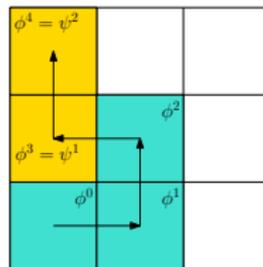
Valid set still empty:  $V(\phi^1) = \emptyset$

# Algorithm



**Specification:**  
reach top-left  
from bottom left

**Revised plan:**  
 $\phi^0 \phi^1 \phi^2 \phi^3 \phi^4$

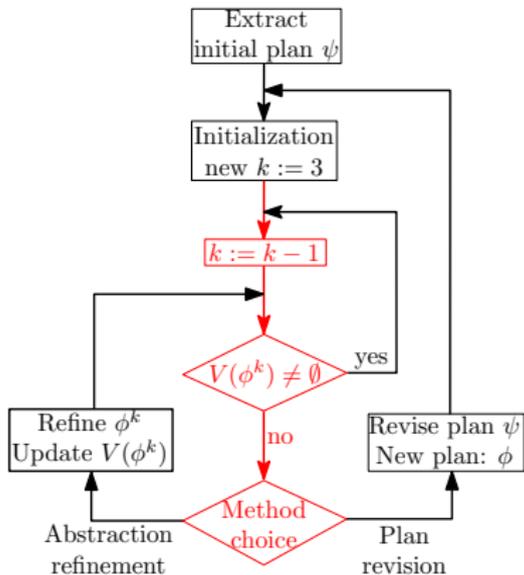


Transition  $\phi^1 \rightarrow \phi^2$

Refine  $\phi^1$

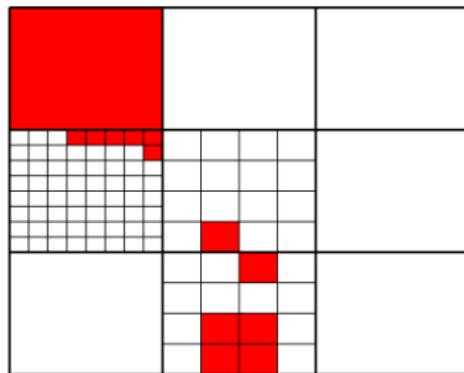
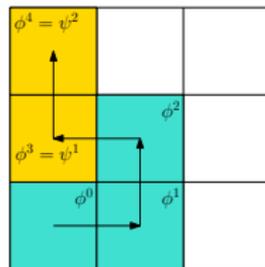
New valid set for  $\phi^1$ :  $V(\phi^1) \neq \emptyset$

# Algorithm



**Specification:**  
reach top-left  
from bottom left

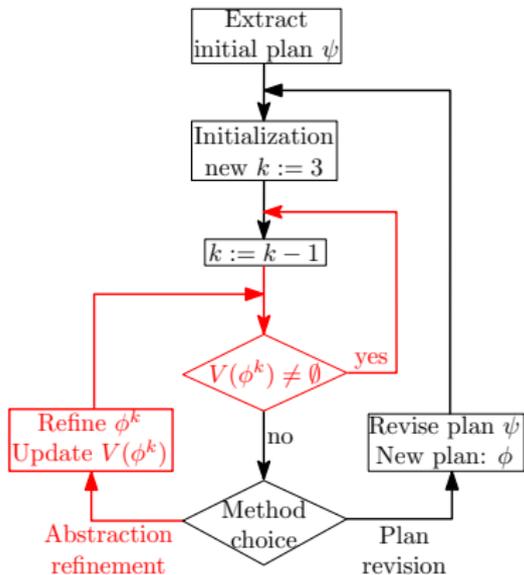
**Revised plan:**  
 $\phi^0 \phi^1 \phi^2 \phi^3 \phi^4$



Transition  $\phi^0 \rightarrow \phi^1$

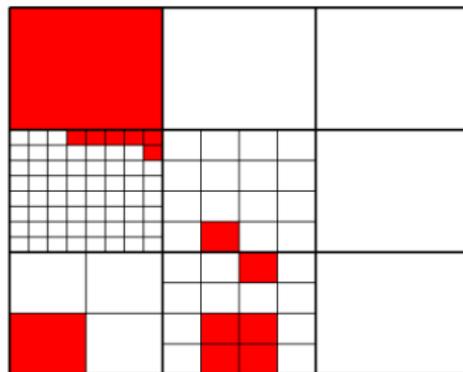
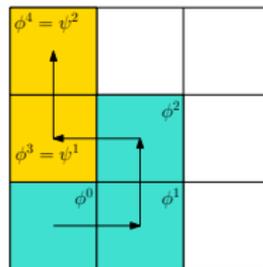
Empty valid set:  $V(\phi^0) = \emptyset$

# Algorithm



**Specification:**  
reach top-left  
from bottom left

**Revised plan:**  
 $\phi^0 \phi^1 \phi^2 \phi^3 \phi^4$



Transition  $\phi^0 \rightarrow \phi^1$

Refine  $\phi^0$

New valid set for  $\phi^0$ :  $V(\phi^0) \neq \emptyset$

# Algorithm

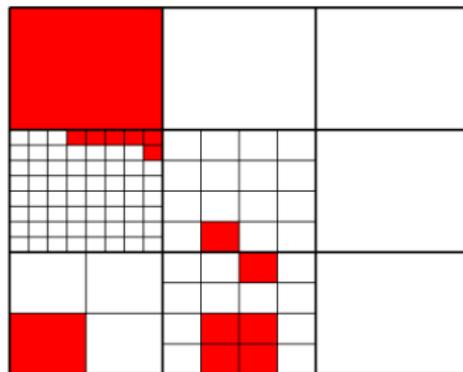
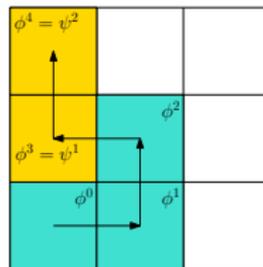
## Outputs

- ▶ **Final plan**
- ▶ **Refined partition**
- ▶ **Valid set** for each cell in the plan
- ▶ **Controller** associated to each element of the valid sets

**Specification:**  
reach top-left  
from bottom left

**Revised plan:**

$\phi^0 \phi^1 \phi^2 \phi^3 \phi^4$



Non-empty valid set for the initial cell:  $V(\phi^0) \neq \emptyset$

Terminates the algorithm

# Cost functions

Cost for abstraction refinement: refinement of cell  $\psi^j$

- ▶ number of new subsymbols after refinement of  $\psi^j$   
 $2^n * (\text{number of invalid subsymbols of } \psi^j)$
- ▶ number of invalid subsymbols in  $\psi^k \dots \psi^{j-1}$  to be updated
- ▶ predicted number of subsymbols in future refinements

$$J_{AR}(\psi^j) = 2^n * |P_a(\psi^j) \setminus V(\psi^j)| \\ + \sum_{l=k}^{j-1} |P_a(\psi^l) \setminus V(\psi^l)| + (k + 1) * (2^n)^2$$

Cost for plan revision up to cell  $\psi^j$

- ▶ predicted number of subsymbols in future refinements (for the new length of the revised plan)

$$J_{PR}(\psi^j) = (|\text{Revise}(\psi, j)| - |\psi| + j + 1) * (2^n)^2$$

Can add a weight to give more priority to one method.



## Main result

- ▶  $S_\tau = (X_\tau, U_\tau, \xrightarrow{\tau})$ : sampled version of the continuous dynamics
- ▶  $S_a = (X_a, U_a, \xrightarrow{a})$ : abstraction of  $S_\tau$  with the refined partition  $X_a$

### Definition

A map  $H : X_\tau \rightarrow X_a$  is a **feedback refinement relation** from  $S_\tau$  to  $S_a$  if:  $\forall x \in X_\tau, s = H(x), \forall u \in U_a \subseteq U_\tau,$   
 $\forall x' \in \text{Post}_\tau(x, u), H(x') \in \text{Post}_a(s, u).$

### Theorem

If the **algorithm terminates in finite time**, the obtained controller  $C_a$  of  $S_a$  can be refined into a controller  $C : X_\tau \rightarrow U_\tau$  defined by  $C(x) = C_a(H(x))$  such that  $S_\tau$  satisfies the main LTL specification.



# Conclusion and perspectives

## Contributions

- ▶ framework combining abstraction refinement and plan revision
- ▶ for non-deterministic abstractions with LTL specification

## Degrees of freedom

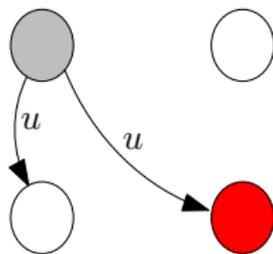
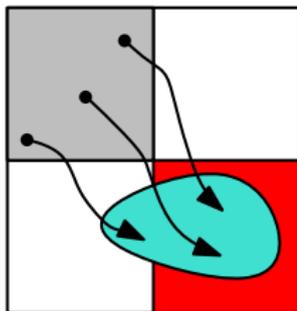
- ▶ cost functions giving priority to refinement or revision and picking which cell is refined or revised
- ▶ splitting strategy when refining

## Perspectives

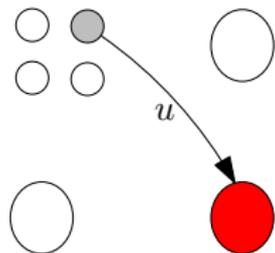
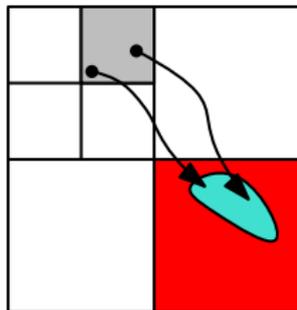
- ▶ guarantees of termination of the algorithm
- ▶ scalability: application within a compositional framework
- ▶ online approach

# Abstraction refinement

No guarantee of reaching the red cell

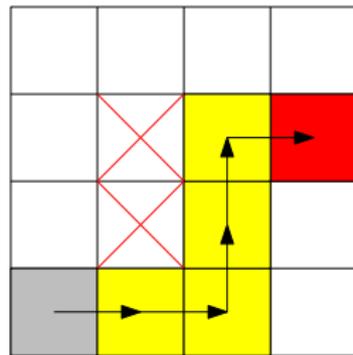
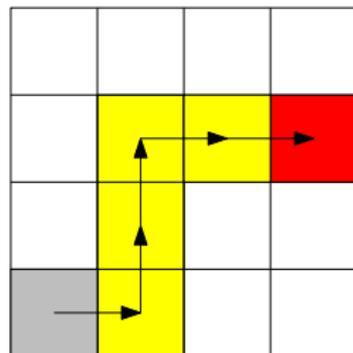


Refine the abstraction by **splitting the partition**



# Plan revision

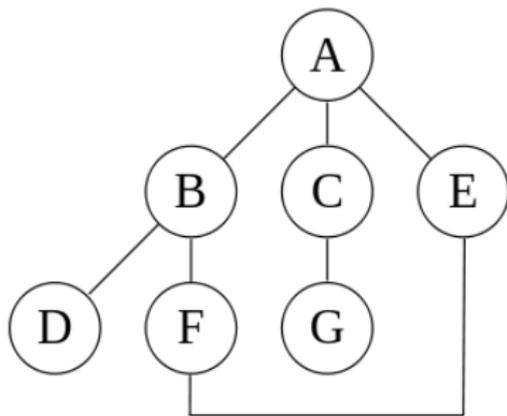
- ▶ Main specification: **LTL formula** (reach the red cell from the gray one)
- ▶ Find a **satisfying plan** (sequence of cells to be followed)
  
- ▶ Failure of the control synthesis to follow this plan ?
- ▶ **Revise the plan** by looking for an alternative satisfying sequence
- ▶ Repeat the synthesis attempt



# Iterative deepening depth-first search

Search algorithm on the product automaton

- ▶ set  $DepthLimit = 0$
- ▶ call a *Limited-Depth Depth First Search* with  $DepthLimit$
- ▶ if no result found, repeat with  $DepthLimit = DepthLimit + 1$



- ▶  $DepthLimit = 0$ : A
- ▶  $DepthLimit = 1$ : A, B, C, E
- ▶  $DepthLimit = 2$ :  
A, B, D, F, C, G, E, F
- ▶  $DepthLimit = 3$ :  
A, B, D, F, E, C, G, E, F, B

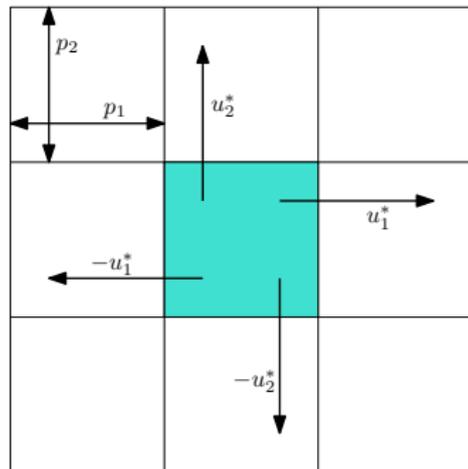
# Sampling period

Real system:  $\dot{x} = f(x, w) + u$   
 $u \in [-u^*, u^*]$

Nominal system:  $\dot{x} = u$

**Minimal sampling time** such that we can steer the state of the nominal system between any two neighbor cells of the uniform partition:

$$\tau = \max_{i \in \{1, \dots, n\}} \frac{p_i}{u_i^*}$$



Guarantees to obtain a **deterministic** abstraction of the nominal system